



7-31-03

GP 16357

#24/SUPR.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Chu et al.

Serial No. 09/438,365

Filed: November 12, 1999

For: NEW TRANSFECTION REAGENTS

: Confirmation No.:

: Group Art Unit:

: Examiner: Not assigned

RECEIVED

AUG 01 2003

TECH CENTER 1600/2900

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
On	7/29/03
Lea Murray	
EL 982 116 356 US	
Express Mail Certificate Number	

NINTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Further to the Information Disclosure Statement filed May 27, 2003, the Examiner is respectfully requested to consider the additional references, copies enclosed, which may qualify as prior art. For the Examiner's convenience, the references are listed on the attached Patent and Trademark Office Form PTO-1449.

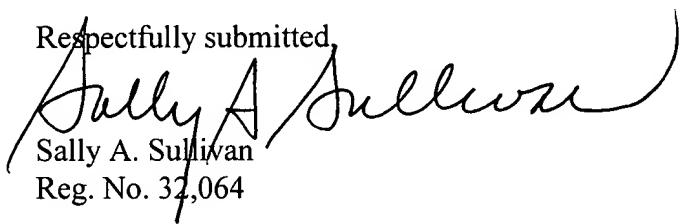
Where the month of a reference is not listed, the year of publication is sufficiently earlier than the effective U.S. filing date so that the particular month of publication is not an issue.

References known to the applicants have been listed on PTO-1449. That information is cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement. However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere use of PTO-1449 is not an admission that all listed references are prior art. No representation is made that applicants know of the best art.

The Examiner's attention is drawn to the following commonly-owned, unpublished patent applications which may be considered to contain relevant subject matter: USSN 09/326,106, filed June 4, 1999; USSN 09/937,837, filed January 7, 2002; USSN 10/200,879, filed July 23, 2002. Copies of these applications are included.

This submission is accompanied by a check in the amount of \$180 as required under 37 CFR 1.97(c). If this amount is incorrect, please charge any deficiency or credit any overpayment to deposit account 07-1969.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Sally A. Sullivan".

Sally A. Sullivan
Reg. No. 32,064

GREENLEE, WINNER AND SULLIVAN, P.C.
5370 Manhattan Circle, Suite 201; Boulder, CO 80303
Telephone: (303) 499-8080; Facsimile: (303) 499-8089
Attorney Docket No. 61-03
lem:July 29, 2003

#24/I.D.S
RECEIVED
AUG 01 2003

Form PTO-1449

JUL 29 2003

ATTY DOCKET NO. 603
APPLICANT Chu

SERIAL NO. 09/438,365

FILING DATE November 2, 1999

GROUP 1635

U.S. PATENT DOCUMENTS

Exmr. Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	6,399,663	06/04/02	Haces et al.	514	642	
	6,020,202	02/01/00	Jessee	435	458	
	5,834,439	11/10/98	Haces et al.	514	42	
	5,736,392	04/07/98	Hawley-Nelson	435	320.1	
	5,674,908	10/07/97	Haces et al.	514	642	
	5,635,487	06/03/97	Wolff et al.	514	44	
	3,369,905	02/20/68	Jones et al.	96	107	
	3,324,182	06/06/67	De Brunner et al.	260	583	
	2,654,785	10/06/53	Miescher, K. et al.	260	567.6	
	2003-0069173	04/10/03	Hawley-Nelson	514	8	
	2002-0086849	07/04/02	Gebeyehu et al.	514	44	

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation Yes/No
	WO 02/34879	05/02/02	PCT	C12N 15/88		
	WO 00/12454	03/09/00	PCT	C07C 19/00		
	WO 00/58488	10/05/00	PCT	C12N 15/87		
	WO 98/19709	05/14/98	PCT	A61K 47/48		
	WO 97/42819	11/20/97	PCT	A01N 37/18		
	WO 96/40961	12/19/96	PCT	C12N 15/88		
	WO 94/27435	12/08/94	PCT	A01N 25/26		
	WO 93/14778	08/05/93	PCT	A61K 37/00		
	WO 93/05162	03/18/93	PCT	C12N 15/63		

OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, etc.)

		Felgner et al. (1993) Keystone Symposium on Genetic Targeted Research and Therapeutics: Antisense and Gene Therapy, Keystone, CO; J. Cell. Biochem. Suppl. 0(17 Part E), p. 206, S306
		Gao, X. and Huang, L. (1993), "Cationic Liposomes and Polymers for Gene Transfer," J. Liposome Res. 3(1):17-30

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.